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September 9, 2005

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Art Unit 1644

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 08/474,388; Filed: June 7, 1995

For: **ICAM-1 Preparation**Inventor: SPRINGER *et al.*

Our Ref:

1011.004000D/RCM/GLL

Sir:

In response to the Notification of Non-Compliant Appeal Brief (37 C.F.R. § 41.37) mailed August 10, 2005, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Communication with attachment;
- 2. Copy of Office Action mailed December 15, 2000;
- 3. Copy of date stamped postcard filed on October 24, 2000;
- 4. Copy of Supplemental Amendment Under 37 C.F.R. § 1.111 filed on October 24, 2000; and
- 5. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

BEST AVAILABLE COPY

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Commissioner for Patents September 9, 2005 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Gaby L. Longsworth

Attorney for Applicants Registration No. 47,756

RCM/GLL/eaf Enclosures

440898v1



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SPRINGER et al.

Appl. No.: 08/474,388

Filed: June 7, 1995

For: ICAM-1 Preparation

Confirmation No.: 2682

Art Unit: 1644

Examiner: P. Gambel

Atty. Docket: 1011.004000D/RCM/GLL

Communication

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants received a Notice of Non-Compliant Appeal Brief Under 37 C.F.R. § 41.37 mailed August 10, 2005. According to the Examiner, the Appeal Brief filed on October 21, 2002, is defective for failure to comply with one or more provisions of 37 CFR § 41.37. Apparently, a copy of a Supplemental Amendment filed October 24, 2000, is missing from the USPTO's records, even though a transmittal sheet indicating the submission of the Supplemental Amendment is noted. The Examiner requested that Applicants provide a copy of the Supplemental Amendment filed October 24, 2000, including the stamped postcard receipt, as well as a copy of the Office Action dated December 5, 2000, which is also missing from the USPTO's records.

In response to the Examiner's request, Applicants provide herewith a copy of the Supplemental Amendment filed October 24, 2000, including a copy of the stamped postcard receipt. Applicants did not locate an Office Action mailed December 5, 2000; however, a copy of the Office Action dated December 15, 2000 (Paper No. 28) is provided.

SPRINGER *et al.* Appl. No. 08/474,388

- 2 -

In response to the Examiner's comments regarding pending claims 71, 72, 77 and 78, as well as claims 73 and 76, Applicants provide herewith, as a courtesy to the Examiner, a chart detailing the various amendments to the claims and the date the amendments were filed. Applicants note that there is a discrepancy in claim 73. In the

73. The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 can specifically bind LFA-1.

amendment filed on October 15, 1997, claim 73 was amended as:

However, claim 73 should have been properly amended as follows:

73. The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 can specifically bind lymphocytes <u>LFA-1</u>.

Applicants respectfully request that, if necessary, the Examiner make the appropriate correction to claim 73 pursuant to 37 C.F.R. § 1.121(g).

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Gaby L. Longsworth Attorney for Applicants Registration No. 47,756

Date: September 9, 2005

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600 440901v1

	OIF	E	1.	20/	.\																							
	SEP	0 8																										_
As pending	A purified or isolated	substantially free of national	contaminants, wherein said	puritied or isolated ICAIM	is derived from human cells	or tissues and is capable of	binding to LFA-1, Mac-1, or	p150,95; and wherein said	binds with specificity to the	ICAM-1 monoclonal	antibody RR1/1.	The purified or isolated	ICAM-1 preparation as	claimed in claim 71,	wherein said purified or	isolated ICAM-1 can bind	LFA-1.			The purified or isolated	ICAM-1 preparation as	claimed in claim 71,	wherein said purified or	isolated ICAM-1 can	specifically bind LFA-1.			
	A purified or isolated ICAM-1 preparation substantially free of	pi			۱ - 1,	Mac-1, or p150,95; and wherein	said purified or isolated ICAM-1	binds with specificity to the ICAM-	1 monocional antibody KK1/1.	(Amendment filed Oct. 24, 2000)																		
Twice amended	A purified or isolated ICAM-1 preparation substantially free of	natural contaminants, wherein	said purified or isolated ICAM-1	is derived from human cells or	tissues and is capable of binding	to LFA-1, Mac-1, or p150,95.		(Amendment filed May 24, 1999)																				
Once amended	A purified or isolated ICAM-1 preparation	substantially free of natural	contaminants, wherein said	purified or isolated ICAM-1	[exhibits at least one	biological activity of native	ICAM-1] is capable of	binding to LFA-1, Mac-1, or	<u>p150,95</u> .	(Amendment filed July 6,	1998)									The purified or isolated	ICAM-1 preparation as	claimed in claim 71,	wherein said purified or	isolated ICAM-1 can	specifically bind LFA-1.		(Amendment filed Oct. 15,	1997)
As filed	A purified or isolated ICAM-1 preparation	substantially free of	natural contaminants,	wherein said purified	or isolated ICAM-1	exhibits at least one	biological activity of	native ICAM-1.	(Filad Ian 7 1007)	(1 1100 Jan. 7, 1777)		The purified or	isolated ICAM-1	preparation as claimed	in claim 71, wherein	said purified or	isolated ICAM-1 can	bind LFA-1.	(Filed Jan. 7, 1997)	The purified or	isolated ICAM-1	preparation as claimed	in claim 71, wherein	said purified or	isolated ICAM-1 can	bind lymphocytes.	(700-7 1007)	(Filed Jan. /, 1997)
Claim	71,											72	1							73								

¹ Claims 1-15, 24-26, and 45-68 were cancelled on June 7, 1995. Claims 16-23, 27-44 and 69-70 were cancelled on January 7, 1997.

Claim	As filed	Once amended	Twice amended	Thrice amended	As pending
74	The purified or isolated ICAM-1	cancelled	The purified or isolated ICAM-1 preparation as claimed in claim		
	preparation as claimed	(Amendment filed July 6,	71, wherein said purified or isolated ICAM-1 can hind fluman		
	said purified or	(0)	rhinovirus] <u>p150,95</u> .		
	isolated ICAM-1 can				
	bind human rhinovirus.		(Amendment erroneously filed May 24, 1999)		
	(Filed Jan. 7, 1997)				
75	The purified or	The purified or isolated			The purified or isolated
· ·	isolated ICAM-1	ICAM-1 preparation as			ICAM-1 preparation as
	preparation as claimed	claimed in claim 71,			claimed in claim 71,
	in claim 71, wherein	wherein said purified or			wherein said purified or
	said purified or	isolated ICAM-1 is human			isolated ICAM-1 is human
	isolated ICAM-1 is	spleen ICAM-1 having a			spleen ICAM-1 having a
	human spleen ICAM-1	molecular weight [from			molecular weight of 72 to
	having a molecular	about] of 72 [kDa] to			91 kDa as determined by
	weight from about 72	[about] 91 kDa as			SDS polyacrylamide gel
	kDa to about 91 kDa.	determined by SDS			electrophoresis.
		polyacrylamide gel			
	(Filed Jan. 7, 1997)	electrophoresis.			
		(Amendment filed July 6.			
		(1998)			

Claim	Claim As filed	Once amended	Twice amended	Thrice amended	As pending
92	The purified or	The purified or isolated	The purified or isolated ICAM-1		The purified or isolated
<u> </u>	isolated ICAM-1	ICAM-1 preparation as	preparation as claimed in claim		ICAM-1 preparation as
	preparation as claimed	claimed in claim 71,	71, wherein said purified or		claimed in claim 71,
	in claim 71, wherein	wherein said purified or	isolated ICAM-1 is ICAM-1 of JY		wherein said purified or
	said purified or	isolated ICAM-1 is ICAM-1	B-lymphoblastoid cells having a		isolated ICAM-1 is ICAM-1
	isolated ICAM-1 is	of JY cells having a	molecular weight of 76.5 to 97		of JY B-lymphoblastoid
	ICAM-1 of JY cells	molecular weight [from	kDa as determined by SDS		cells having a molecular
	having a molecular	about] of 76.5 [kDa] to	polyacrylamide gel		weight of 76.5 to 97 kDa as
	weight from about	[about] 97 kDa as	electrophoresis.		determined by SDS
	76.5 kDa to about 97	determined by SDS			polyacrylamide gel
	kDa.	polyacrylamide gel	(Amendment filed Oct. 24, 2000)		electrophoresis.
		electrophoresis.			
	(Filed Jan. 7, 1997)				
		(Amendment filed July 6,			
		1998)			

Claim	As filed	Once amended	Twice amended	Thrice amended	As pending
77	The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is ICAM-1 of myelomonocytic cell line having a molecular weight of about 114 kDa.	The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is ICAM-1 of myelomonocytic cell line having a molecular weight of [about] 114 kDa as determined by SDS polyacrylamide gel electrophoresis.			The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is ICAM-1 of myelomonocytic cell line having a molecular weight of 114 kDa as determined by SDS polyacrylamide gel electrophoresis.
	(Filed Jan. 7, 1997)	(Amendment filed July 6, 1998)			
78²	The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is fibroblast ICAM-1 having a molecular weight of about 97 kDa. (Filed Jan. 7, 1997)	The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is fibroblast ICAM-1 having a molecular weight of [about] 97 kDa as determined by SDS polyacrylamide gelectrophoresis. (Amendment filed July 6, 1998)			The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is fibroblast ICAM-1 having a molecular weight of 97 kDa as determined by SDS polyacrylamide gel electrophoresis.

Page 4 of 7 imanage 434917v1

² Claim 79 was filed on January 7, 1997, and cancelled on May 24, 1999.

Claim	Claim As filed	Once amended	Twice amended	Thrice amended	As pending
80	A lipid membrane	A lipid membrane	[A] An artificial lipid membrane	An artificial lipid membrane	An artificial lipid membrane
	comprising isolated or	comprising isolated or	comprising [isolated or] purified	comprising purified or isolated	comprising purified or
	purified ICAM-1	purified ICAM-1	or isolated ICAM-1 [substantially	ICAM-1, wherein said purified or	isolated ICAM-1, wherein
	substantially free of	substantially free of natural	free of natural protein	isolated ICAM-1 is derived from	said purified or isolated
	natural protein	protein contaminants,	contaminants], wherein said	human cells or tissues, is	ICAM-1 is derived from
	contaminants, wherein	wherein said isolated or	[isolated or] purified or isolated	substantially free of natural protein	human cells or tissues, is
	said isolated or	purified ICAM-1 is [in a	ICAM-1 is derived from human	contaminants in said artificial lipid	substantially free of natural
	purified ICAM-1 is in	biologically active form]	cells or tissues, is substantially	membrane, and is capable of	protein contaminants in said
	a biologically active	capable of binding to LFA-	free of natural protein	binding to LFA-1, Mac-1, or	artificial lipid membrane,
	form.	1, Mac-1, or p150,95.	contaminants in said artificial	p150,95; and wherein said purified	and is capable of binding to
			lipid membrane, and is capable of	or isolated ICAM-1 binds with	LFA-1, Mac-1, or p150,95;
	(Filed Jan. 7, 1997)	(Amendment filed July 6,	binding to LFA-1, Mac-1, or	specificity to the ICAM-1	and wherein said purified or
		1998)	p150,95.	monoclonal antibody RR1/1.	isolated ICAM-1 binds with
					specificity to the ICAM-1
			(Amendment filed May 24, 1999) (Amendment filed June 15, 2001)	(Amendment filed June 15, 2001)	monoclonal antibody
					RR1/1.

81		Once amenaea	I wice amended	I hrice amended	As politing
<u> </u>	The lipid membrane as	The lipid membrane as	The lipid membrane as claimed in	[A] An artificial lipid membrane as	An artificial lipid membrane
	claimed in claim 80,	claimed in claim 80,	claim 80, wherein said ICAM-1	claimed in claim 80, wherein said	as claimed in claim 80,
	wherein said ICAM-1	wherein said ICAM-1	specifically binds to [at least one	purified or isolated ICAM-	wherein said purified or
	exhibits at least one	[exhibits] specifically binds	ligand selected from the group	1[specifically] binds to LFA-1.	isolated ICAM-1 binds to
	biological activity	to at least one [biological	consisting of:] LFA-1.		LFA-1.
<u> </u>	selected from the	activity] <u>ligand</u> selected		(Amendment filed May 24, 1999)	
	group consisting of:	from the group consisting	(Amendment filed July 6, 1998)		
	LFA-1 binding,	of: LFA-1 [binding], a			
	lymphocyte binding,	lymphocyte [binding], and			
	and human rhinovirus	human rhinovirus [binding].			
	binding.				
	'	(Amendment filed Oct. 15,			
_	(Filed Jan. 7, 1997)	1997)			
823	The lipid membrane as				The lipid membrane as
	claimed in claim 80,				claimed in claim 80,
	wherein said lipid				wherein said lipid
	membrane is an				membrane is an artificial
	artificial planar				planar membrane.
	membrane.				
	(Filed Jan. 7, 1997)				

³ Claim 83 was filed on January 7, 1997, and cancelled on May 24, 1999. Claims 84-86 were filed on January 7, 1997, and cancelled on October 15, 1997, and cancelled on May 24, 1999.

Claim	Claim As filed	Once amended	Twice amended	Thrice amended	As pending
66	The purified or				The purified or isolated
`	isolated ICAM-1				ICAM-1 preparation as
	preparation as claimed				claimed in claim 71,
	in claim 71. wherein				wherein said purified or
	said mirified or				isolated ICAM-1 can bind
	isolated ICAM-1 can				p150,95.
	bind p150,95.				
	(Amendment filed				
	Feb. 4, 2000. The				
	claim was erroneously				
	numbered as claim 83				
	but was corrected by				
	the Examiner in an				
	Advisory Action				
	mailed February 18,				
	2000.)				

SPRINGER et al.

SEP 0 9 7005

Art Unit: 1644

Examiner:

Gambel, P.

Application No.: 08/474,388

> Filed: June 7, 1995

Docket:

1011.004000D

Atty: SLF/RCM

For: **ICAM-1 Preparations**

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

- 1. PTO Transmittal Letter (in duplicate);
- 2. Supplemental Amendment Under 37 C.F.R. § 1.111;
- 3. An executed Declaration of Robert Rothlein Under 37 C.F.R. § 1.132 (with attached Exhibits A-H); and

4. One return postcard.

Please Date Stamp And Return To Our Courier

Hand Carry to Examiner P. Gambel

Art Unit: 1644

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SPRINGER et al.

Appl. No. 08/474,388

Filed:

June 7, 1995

For:

ICAM-1 Preparations

Art Unit:

1644

Examiner:

Gambel, P.

Atty. Docket: 1011.004000D/SLF/RCM

Supplemental Amendment Under 37 C.F.R. § 1.111

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Examiner interview on October 3, 2000 (Paper No. 24), Applicants submit the following Amendments and Remarks.

Amendments

Please rewrite the following claims:

71. (Thrice Amended) A purified or isolated ICAM-1 preparation substantially free of natural contaminants, wherein said purified or isolated ICAM-1 is derived from human cells or tissues and is capable of binding to LFA-1, Mac-1, or p150,95; and wherein said purified or isolated ICAM-1 binds with specificity to the ICAM-1 monoclonal antibody RR1/1.

76. (Twice Amended) The purified or isolated ICAM-1 preparation as claimed in claim 71, wherein said purified or isolated ICAM-1 is ICAM-1 of JY <u>B-lymphoblastoid</u> cells having a molecular weight of 76.5 to 97 kDa as determined by SDS polyacrylamide gel electrophoresis.

Remarks

Claims 71-73, 75-78, 80-82 and 99 are pending in the application, with claims 71 and 80 being the independent claims.

Applicants thank the Examiner for the courtesy extended to Applicants' representatives during the Examiner interview on October 3, 2000, in which the Examiner suggested certain changes to the language of claims 71 and 76. In particular, the Examiner suggested amending claim 71 to indicate that the purified or isolated ICAM-1 binds with specificity to the ICAM-1 monoclonal antibody RR1/1, to overcome the rejection under 35 U.S.C. § 112, first paragraph. Support for this amendment can be found in priority Appl. No. 07/045,963, *inter alia*, at pages 38-43 (Examples 8 and 9).

In addition, as the Examiner suggested, Applicants have amended claim 76 to indicate that JY cells are B-lymphoblastoid cells. Support for this amendment can be found in priority Appl. No. 07/045,963, *inter alia*, at page 15, lines 5-18; at page 19, lines 29-32; and at page 55, lines 17-21.

Applicants further provide the following remarks regarding the 35 U.S.C. §§ 102 and 103 rejections over Tomassini, thesis 8624033 (1986) [hereinafter "the Tomassini thesis"], and Tomassini et al., J. Virol. 58:290-295 (1986) [hereinafter "the Tomassini article"]. The authors

of the Tomassini thesis and article indicated that Tomassini's purified HRRP (ICAM-1) receptor preparation is not able to bind HRV. (Tomassini thesis at 116, line 22, to 117, line 1; and Tomassini article at 295, col. 1, lines 20-25.) Since the binding sites for HRV and LFA-1 overlap, one of ordinary skill in the art would expect that any disruption in structure from the purification procedure leading to the elimination of HRV binding, would also reduce or eliminate LFA-1 binding.

Furthermore, this inability of Tomassini's HRRP preparation to bind HRV is not due to chemical denaturation and subsequent disruption of the binding sites on the virus by radio labeling protocols. The authors of the Tomassini thesis and article show that radio labeled HRV is capable of binding to membranes. These results indicate that the binding sites on radio labeled HRV are functional. Since radio labeled HRV binds membranes but does not bind to Tomassini's HRRP preparation, it is more likely than not that the binding sites on Tomassini's HRRP preparation are disrupted and not functional. As discussed with the Examiner during the interview, Applicants submit herewith a Declaration of Robert Rothlein Under 37 C.F.R. §1.132 in support of these conclusions. The Examiner indicated during the interview that in light of Tomassini's results and the Declaration of Robert Rothlein, the rejections under 35 U.S.C. §§ 102 and 103 are likely to be withdrawn.

Applicants submit that the amendments presented herein comply with the Examiner's suggestions. Applicants note that the Examiner's suggestions were not made in view of any newly discovered references. In view of the above amendments and remarks, and the Declaration of Robert Rothlein Under 37 C.F.R. §1.132, reconsideration of this Application is respectfully requested.

Conclusion

All of the stated grounds of objection and rejection have been properly traversed, accommodated, or rendered moot. Applicants therefore respectfully request that the Examiner reconsider all presently outstanding objections and rejections and that they be withdrawn. It is believed that the present application is in condition for allowance. If the Examiner believes, for any reason, that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Prompt and favorable consideration of this Supplemental Amendment is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert C. Millonig Attorney for Applicants

Registration No. 34,395

Date: October 24, 2000

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005 (202) 371-2600

P:\USERS\GLongs\1011\004000D\suppl. amendm. 10-00 rev SKGF Rev. 1/27/98 clp



UNITED STATI EPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING DATE

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

08/474,388

06/07/95

SPRINGER :

* U.S. GPO: 1996-421-632/40206

	1 Martin and market
SAMUEL L FOX	HM22/1215 ARTHING PAPER NUMBER
STERNE KESSLER GOLDSTEIN &	ETTY VI
1100 NEW YORK AVENUE NW ST	
WASHINGTON DC 20005-3934	DATE MAILED:
	· ·
•	12/15/00
This is a communication from the examiner in charge of your appl	cation
COMMISSIONER OF PATENTS AND TRADEMARKS	
OFFICE 7	ACTION SUMMARY
Responsive to communication(s) filed on	
nesponsive to communication(s) filed on	DEG 18 2000
This action is FINAL.	2010
Since this application is in condition for allowance except f	or formal matters, prosecution as the merits is closed in
accordance with the practice under Ex parte Quayle, 1935	DC 11: 452 DC 212
A shortened statutory period for response to this action is set to	2/2 /2/19/00
whichever is longer, from the mailing date of this communication	. Failure to respond within the period for response will cause
the application to become abandoned. (35 U.S.C. § 133). Exte	nsions of time may be obtained under the provisions of 37 CFR
1.136(a).	1.0
Disposition of Claims	
Diction(a) 7/-72 75-70 80-91 90	4
Of the above, claim(s)	is/are pending in the application.
I.I Claim(s)	t= t= 11 t
Claim(s) 71-73, 75-78, 80-82,	is/are rejected.
Claim(s)	is/are objected to.
Claim(s)	are subject to restriction or ejection requirement.
Application Denous	- A A
Application Papers	
See the attached Notice of Draftsperson's Patent Drawing	Review, PTO-948.
The drawing(s) filed on	is/are objected to by the Examiner.
The proposed drawing correction, filed on	is approved disapproved.
The specification is objected to by the Examiner.	,
The oath or declaration is objected to by the Examiner.	······································
Priority under 35 U.S.C. § 119	·
Acknowledgment is made at a plain to take in minimum.	
Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☐ None of the CERTIFIED copies	of the priority documents have been
received.	
received in Application No. (Series Code/Serial Numbe	A
received in this national stage application from the Inter	
*Certified copies not received:	•
Acknowledgment is made of a claim for domestic priority un	der 35 U.S.C. § 119(e).
Attachment(s)	/
Notice of Reference Cited, PTO-892 DOCKETE	
Information Disclosure Statement(s), PTO-1449, Paper No()
Interview Summary, PTO-413	DPT 500/ June 15, 200/
Notice of Draftperson's Patent Drawing Review, PTO-948	
Notice of Informal Patent Application, PTO-152	
The state of the s	
CEC AFFIOT ACTION	ON THE FOLLOWING PAGES



DETAILED ACTION

1. Since this application is eligible for the transitional procedure of 37 CFR 1.129(a), and the fee set forth in 37 CFR 1.17(r) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.129(a).

Applicant's second submission after final filed on 9/5/00 (Paper No. 23) has been entered.

Applicant's amendment, 10/12/00 (Paper No. 26), is acknowledged. Claims 71 and 76 have been amended.

Claims 71-73, 75-78, 80-82 and 99 are pending and being acted upon presently

Claims 1-70, 74, 79, 83-98 have been canceled previously.

- 2. Formal drawings and photographs have been submitted which fail to comply with 37 CFR 1.84. Please see the form PTO-948 previously sent in Paper No. 6.
- 3. The application is required to be reviewed and all spelling, TRADEMARKS, and like errors corrected.

Trademarks should be capitalized or accompanied by the ™ or ® symbol wherever they appear and be accompanied by the generic terminology. Although the use of trademarks is permissible in patent applications, the proprietary nature of the trademarks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as trademarks.

Appropriate corrections are required

4. The following is a quotation of the first paragraph of 35 U.S.C. § 112: The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention. 5. Claims 80-82 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for "purified or isolated ICAM-1" which is bound by the RR-1 (see deposit requirement under 35 USC 112, first paragraph, herein) or defined by the amino acids of Figure 8; does not reasonably provide enablement for any "purified or isolated ICAM". The specification does not enable any person skilled in the art to which it pertains, or with which it is most clearly connected, to make and use the invention commensurate in scope with these claims.

Applicant has not provided sufficient biochemical information (e.g. molecular weight, amino acid composition, N-terminal sequence, etc.) that distinctly identifies ICAM other than ICAM bound by the RR-1 (see deposit requirement under 35 USC 112, first paragraph, herein) or defined by the amino acids of Figure 8.

"It is not sufficient to define the recombinant molecule by its principal biological activity, e.g. having protein A activity, because an alleged conception having no more specificity than that is simply a wish to know the identity of any material with that biological property." <u>Colbert v. Lofdahl</u>, 21 USPQ2d, 1068, 1071 (BPAI 1992).

While ICAM is an adhesion molecule which is capable of binding to LFA-1, MAC-1 or p150,95; there are a number of distinct adhesion molecules as well as distinct ICAM molecules, which encompass overlapping structural and functional attributes.

Reasonable correlation must exist between the scope of the claims and scope of enablement set forth. Without sufficient guidance, making and using ICAM-1 with the functional adhesion molecule properties at the time the invention was made, while providing or maintaining the claimed activity would be unpredictable and the experimentation left to those skilled in the art is unnecessarily, and improperly, extensive and undue in the absence of defining biochemical or enabling information.

Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. <u>In re Van Geuns</u>, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

6. Claims 71-73, 75-78, 80-82 and 99:

It is apparent that the RR1/1 antibody is required to practice the claimed invention. As a required element, it must be known and readily available to the public or obtainable by a repeatable method set forth in the specification. If it is not so obtainable or available, the enablement requirements of 35 USC 112, first paragraph, may be satisfied by a deposit of the cell line / hybridoma which produces this antibody. See 37 CFR 1.801-1.809.

In addition to the conditions under the Budapest Treaty, applicant is required to satisfy that all restrictions imposed by the depositor on the availability to the public of the deposited material will be irrevocably removed upon the granting of a patent in U.S. patent applications.

Amendment of the specification to recite the date of deposit and the complete name and address of the depository is required. As an additional means for completing the record, applicant may submit a copy of the contract with the depository for deposit and maintenance of each deposit.

If the original deposit is made after the effective filing date of an application for patent, the applicant should promptly submit a verified statement from a person in a position to corroborate the fact, and should state, that the biological material which is deposited is a biological material specifically identified in the application as filed, except if the person is an attorney or agent registered to practice before the Office, in which the case the statement need not be verified. See MPEP 1.804(b).

Applicant should note that the limitation of the RR/1 antibody is set forth in the scope rejection under 35 USC 112, first paragraph above.

If applicant amends the claims to rely upon the sequence in Figure 8; then the deposit requirement for the RR/1 antibody would be obviated.

- 7. Claim 75-78 and 80-82 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- A) At the time the invention was made or priority relied upon (e.g. USSN 07/405,963, filed 5/4/87); claims 80-82 are indefinite in that they only describe the compositions of interest by an arbitrary protein name. While the name itself may have some notion of the activity of the protein, there is nothing in the claims which distinctly claims the protein and variants thereof. For example, others in the field may isolate the same protein and give such an entirely different name. Applicant should particularly point out and distinctly claim "ICAM-1" by claiming characteristics associated with the protein (e.g. amino acid composition, specifically bound by a deposited antibody,; e.g. RR/1). Claiming biochemical molecules by a particular name given to the protein by various workers in the field fails to distinctly claim what that protein is and what the compositions are made up of.
- B) With respect to claims 75-78 and in consideration of the discrepancies often encountered in the art between protein molecular weight when determined by different methods, when a molecular weight is recited to characterize a protein the claims should include not only the method by which it was determined, e.g. whether by sodium dodecyl sulphate polyacrylamide gel electrophoresis, gel filtration or some other method, but also whether the determination was made under denaturing or non-denaturing conditions and whether reducing or non-reducing conditions were are used.
 - C) Applicant should specifically point out the support for any amendments made to the disclosure. See MPEP 714.02 and 2163.06

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CAR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103© and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

10. Claim 71-73, 75-78, 80-82 and 99 are rejected under 35 U.S.C. § 102(b) as being anticipated by Tomassini (PhD Dissertation, 1986; of record) for the reasons of record.

Tomassini teaches the isolation and characterization of the human rhinovirus receptor, including various cell and membrane preparations (see entire document).

11. Claim 71-73, 75-78, 80-81 and 99 are rejected under 35 U.S.C. § 102(b) as being anticipated by Tomassini et al. (J. Virol. 58: 290-295, 1986; of record) for the reasons of record.

Tomassini teaches the isolation characterization of the human rhinovirus receptor, including cellular and membrane preparations (see entire document).

12. Claim 71-73, 75-78, 80-81 and 99 are rejected under 35 U.S.C. § 102(b) as being anticipated by Colonno et al. (Virus Attachment and Entry into Cells, Proceedings of an ASM Conference held in Philadelphia, PA, April 10-13, 1985).

Colonno et al. Teach the characterization of the cellular receptor specific for attachment of most human rhinovirus serotypes, including cellular and membrane preparations (see entire document, including pages 112-115).

Applicant is reminded that no more of the reference is required than that it sets forth the substance of the invention. The claimed functional limitations would be inherent properties of the referenced rhinovirus receptor.

The products of the instant claims and the prior art are defined in terms of physical characteristics. Comparison of the instant products with prior art is difficult since the Office is not equipped to manufacture the claimed product and/or prior art products that appear to be related and conduct comparisons. Also, it is noted that differences or variations were known in the art at the time the invention was made when protein molecular weight was determined by different methods and conditions.

The burden is on the applicant to establish a patentable distinction between the claimed and referenced products. See <u>In re Best</u>, 195 USPQ 430, 433 (CCPA 1977); <u>In re Marosi</u>, 218 USPQ 289, 292-293 (Fed. Cir. 1983); <u>In re Fitzgerald et al.</u>, 205 USPQ 594 (CCPA 1980).

13. Claims 71-73, 75-78, 80-81 and 99 80-82 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Tomassini (PhD Dissertation, 1986; of record) AND/OR Tomassini et al. (J. Virol. 58: 290-295, 1986; of record) AND/OR Colonno et al. (Virus Attachment and Entry into Cells, Proceedings of an ASM Conference held in Philadelphia, PA, April 10-13, 1985) OR Tomassini (PhD Dissertation, 1986; of record) in view of the art known use of artificial membranes for a variety of uses in protein chemistry at the time the invention was made and to isolate and produce functional active proteins.

Tomassini (PhD Dissertation, 1986), Tomassini et al. (J. Virol., 1986) and Colonno et al. (Virus Attachment and Entry into Cells) are all taught above.

These references do not teach the use of artificial lipid membranes per se.

However, providing proteins of interest in artificial lipid membranes in a variety of means for a variety of purposes for the characterization and determination of the structure-function of a protein of interest was well known and practiced at the time the invention was made.

Also, it is noted that "artificial lipid membranes" has broad meaning; given the prosecution of the instant application and applicant's assertion that is irrelevant whether HRRP or ICAM-1 when associated with detergents meets the claimed limitation of artificial lipid membranes (see applicant's amendment filed 2/4/00; Paper No. 20; page 6).

It is noted the prior art teaches the isolation and characterization of the rhinovirus receptor which reads on the claimed ICAM-1 preparations.

Given applicant's arguments that the prior art isolated prior art rhinovirus receptor may not have the properties of binding LFA-1/Mac-1/p150,95; it is noted that prior art rhinovirus receptor is clearly identified as being the receptor for rhinovirus receptor.

Given this clear teaching and the clear motivation of the ordinary artisan to characterize this protein further, as taught by the each reference; the ordinary artisan would have been able to isolate and characterize the HRV receptor with the known and desired functional properties, such as HRV binding.

The reason or motivation to modify the reference may often suggest what the inventor has done, but for a different purpose or to solve a different problem. It is not necessary that the prior art suggest the combination to achieve the same advantage or result discovered by applicant. See MPEP 2144.

Although the prior art may not known that the HRV receptor also had the ability to bind to LFA-1/Mac-1/p150,95; these adhesion molecule properties would have been expected properties given the isolation of a functional HRV receptor with ability to bind HRV.

One of ordinary skill in the art at the time the invention was made would have been motivated to isolate and characterize the structure-function nature of the HRV receptor, including the art known use of artificial lipid membranes; given its clear importance in rhinovirus attachment and infection. From the teachings of the references, it was apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole was prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

14. Applicant's arguments in conjunction with the Rothlein Declaration under 37 CFR 1.132, 10/12/00 (Paper Nos. 26/27), have been fully considered but not found convincing.

Applicant argues in conjunction with the Rothlein Declaration that the Tomassini purified HRRP is not able to bind HRV (e.g. see page 46 of the Tomassini PhD Thesis).

It is noted that any disruption in structure from the purification procedure leading to the elimination of HRV binding would also reduce or eliminate LFA-1 binding.

However, it is noted that in characterizing the HRV receptor, Tomassini et al. teach the isolation of the cellular receptors can be achieved by several methods, including but not limited to detergent treatment (page 113). Tomassini et al. clearly teach that the vast number of HRV serotypes use this HRV receptor for attachment, as determined by competition and functional assays (page 113).

While the thesis indicates that repeated attempts to use radiolabeled HRV in place of receptor antibody in the RIA gave inconclusive results owing to poor virus binding; it is not clear the conditions of the assay or the functional attributes of the radiolabeled receptor, since the data or details are not provided (page 44, paragraph 1).

However, immunoaffinity purification did further purify the HRV receptor, wherein said HRV receptor was bound by specific antibody, wherein said anti-HRV antibody could block HRV attachment and that the HRV receptor could be used as an immunogen to generate antisera which selectively inhibit HRV attachment to susceptible cells tested by both membrane binding and cell protection assays (pages 50-69).

Therefore, the thesis clearly states that the HRV receptor is utilized by the major groups of HRVs during attachment to cells (page 65, and Discussion on pages 107-118). Additional biochemical studies (pages 69-83) as well as initial cloning of the HRV receptor (pages 83-105) are also disclosed.

Further, applicant has failed to rebut prima facie showing of inherency or obviousness absent objective evidence such as_side-by-side testing that would address the ability of the prior art HRV receptors ability to bind LFA-1/Mac-1/p150,95. See <u>Ex parte Raske</u>, 28 USPQ2d 1304 (BPAI 1993).

Even if there is an indication that there may be reduced binding of a particular radiolabeled HRV receptor preparation reduced binding to HRV; it maintained the ability to bind.

Further, this does not address the ability of the prior HRV receptor ability to bind LFA-1/Mac-1/p150,95

Although applicant in conjunction with the Rothlein declaration distinguish the cloning disclosed in the Tomassini thesis from that relied upon Tomassini et al. (PNAS 86: 4907-4911, 1989); it is clear that the Tomassini thesis as well as the other references clearly teach that the HRV receptor is indeed the receptor for rhinovirus, that the HRV receptor is bound by antibodies that block HRV attachment or binding, and that the HRV receptor can be used as an immunogen to produce an antibody that blocks HRV attachment and binding.

Either it was inherent or expected at the time the invention was made that the HRV receptor identified and characterized by the references had the ability to bind virus and, in turn, would have either the inherent or expected properties of binding LFA-1/Mac-1/p150,95.

Products of identical chemical composition can not have mutually exclusive properties. A chemical composition and its properties are inseparable. Therefore, if the prior art teaches the identical chemical structure, the properties applicant discloses and/or claims are necessarily present. In re Spada 15 USPQ2d 1655, 1658 (Fed. Cir. 1990). See MPEP 2112-2113, including 2112.01.

Also, see Mehl/Biophile International Corp. V. Milgraum, 52 USPQ2d 1303 (Fed. Cir. 1999); Atlas Powder Co. V. IRECO, 51 USPQ2d 1943 (Fed. Cir. 1999).

For example, <u>Atlas Powder Co. V. IRECO</u>, 51 USPQ2d 1943 (Fed. Cir. 1999) states: "Artisans of ordinary skill may not recognize the inherent characteristics or functioning of the prior art... However, the discovery of a previously unappreciated property of a prior art composition, or of a scientific explanation for the prior art's functioning, does not render the old composition patentably new to the discoverer. "The Court further held that "this same reasoning holds true when it is not a property but an ingredient which is inherently contained in the prior art".

The reason or motivation to modify the reference may often suggest what the inventor has done, but for a different purpose or to solve a different problem. It is not necessary that the prior art suggest the combination to achieve the same advantage or result discovered by applicant. See MPEP 2144.

While the LFA-1/Mac-1/p150,95 binding of the HRV receptor was not disclosed; the prior art need not disclose a newly discovered property in order for a prima facie case of obviousness. If the claimed and the structurally similar prior art species share a useful property, this will generally be sufficient to motivate an ordinary artisan to make the claimed species. See MPEP 2144.06, including MPEP 2144.06 4(d).

Therefore, the prior art did not need to rely upon the binding of LFA-1/Mac-1/p150,95, as currently claimed. Clearly, the prior art teaching of the HRV receptor would have either the inherent or expected properties of binding LFA-1/Mac-1/p150,95; given its ability to bind HRV.

With respect to the recitation of "artificial" does not appear; the patentability of a product does not depend on its method of production. <u>In re Thorpe</u>, 227 USPQ 964, 966 (Fed. Cir. 1985). See MPEP 2113.

Applicant's arguments are not found persuasive.

- 15. No claim is allowed.
- 16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phillip Gambel whose telephone number is (703) 308-3997. The examiner can normally be reached Monday through Thursday from 7:30 am to 6:00 pm. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (703) 308-3973. Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center 1600 receptionist whose telephone number is (703) 308-0196.

Papers related to this application may be submitted to Technology Center 1600 by facsimile transmission. Papers should be faxed to Technology Center 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center telephone number is (703) 305-3014.

Purch Gambel, PhD.
Primary Examiner
Technology Center 1600
December 14, 2000

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CHARACTERIZATION OF THE CELLULAR RECEPTOR SPECIFIC FOR ATTACHMENT OF MOST
HUMAN RHINOVIRUS SEROTYPES
AUTHOR: COLONNO R J; TOMASSINI J E; CALLAHAN P L; LONG W J
AUTHOR ADDRESS: VIRUS CELL BIOL. RES., MERCK SHARP AND DOHME RES. LAB.,

WEST POINT, PA. 19486.

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Virus Attachment and Entry into Cells

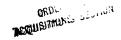
Proceedings of an ASM Conference Held in Philadelphia, Pennsylvania, 10–13 April 1985

Editors:

Richard L. Crowell and Karl Lonberg-Holm

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Characterization of the Cellular Receptor Specific for Attachment of Most Human Rhinovirus Serotypes

RICHARD J. COLONNO, JOANNE E. TOMASSINI, PIA L. CALLAHAN, AND WILLIAM J. LONG

Virus and Cell Biology Research, Merck Sharp & Dohme Research Laboratories, West Point, Pennsylvania 19486

Human rhinoviruses (HRVs) are members of the picornavirus family and are the major causative agent of the common cold in humans. Reciprocal competition binding studies have demonstrated that 20 of 24 serotypes of rhinoviruses compete for a single cellular receptor on HeLa cells. Using HeLa cells as an immunogen, we isolated a mouse monoclonal antibody which had the precise specificity predicted by the biological binding study. The receptor antibody is able to protect HeLa cells from infection by 78 of 88 HRV serotypes assayed. Pretreatment of cells with the antibody is also able to protect HeLa cells from infection by coxsackieviruses A11, A18, and A21, while showing no protective effect against a wide range of other viruses. The antibody demonstrates the same tissue tropism as the major group of HRVs, since it binds only to cells of human origin (with one exception, chimpanzee). Solubilization of HeLa cell membranes with detergents and subsequent chromatography on an immune affinity column resulted in the isolation of a 90,000-molecular-weight protein believed to be the major HRV cellular receptor protein.

Picornaviruses attach to animal cells by means of specific receptors on the cell surface (4, 7, 16). Frequently, the possession of appropriate cellular receptors is sufficient to determine the host range for a particular virus (6). Recent reviews (4, 11) of receptors utilized during picornavirus infections indicate that distinct receptor families exist for attachment of specific groups of picornaviruses. Receptor specificity has been further demonstrated by the isolation of monoclonal antibodies which specifically block the attachment of polioviruses (14) and group B coxsackieviruses (2). The human rhinovirus (HRV) family is composed of over 100 antigenically distinct serotypes and offers a unique opportunity to study receptor specificity (12).

HOW MANY HRV RECEPTORS ARE THERE?

This investigation was initiated to establish whether the large group of medically important viruses classified as HRV attach to susceptible cells by a similarly large variety of cellular receptors. Utilizing 10 HRV serotypes, Lonberg-Holm and his colleagues (9, 10) suggested that at least two different

HRV receptors exist on HeLa cells. Further evidence for the existence of multiple HRV receptors was the ability of a limited number of HRV serotypes to attach to mouse-derived cells (15). Since the number of HRV serotypes examined in these earlier studies was limited, 24 randomly selected HRV serotypes were used in reciprocal competition binding assays to determine the total number of receptors utilized (1). The results from these assays are summarized in Fig. 1 and clearly define only two receptor groups. The first group contained 20 of the 24 serotypes which competed with one another for a single cellular receptor. A minor group, containing only 4 of the 24 HRV serotypes tested, shared a second and different cellular receptor. Members of this minor receptor group are also capable of binding to mouse L cells while the major group of viruses cannot (1).

Monoclonal antibodies were pursued to further explore the HRV cellular receptors. BALB/c mice were immunized with HeLa cells, and their spleen cells were fused to mouse myeloma cells. The resulting hybridoma cell supernatants were screened in a cell protection assay for their ability to protect cell monolayers from infection by HRVs (3). Of the thousands assayed, only one hybridoma cell culture supernatant was identified which could protect cells from HRV-14 infection. As a primary test of the monoclonal antibody's specificity, HeLa cells were treated with the antibody and challenged with each of the 24 serotypes represented in Fig. 1. The receptor monoclonal antibody appeared to have the precise specificity predicted by the biological study, since only the 20 HRV serotypes belonging to the major receptor group were unable to infect cells in the presence of the antibody (Table 1). The antibody was unable to protect cells from infection by the minor group serotypes, 1A, 2, 44, and 49.

Additional cell protection studies (Table 1) showed that the receptor antibody could not protect cells from infection by other picornaviruses or several other RNA and DNA viruses. Protection was observed in HeLa cells against infection by group A coxsackievirus serotypes 13, 18, and 21. This was not unexpected, since earlier studies (9) showed that coxsackievirus A21 and HRV-14 (major receptor group) competed for the same cellular receptor.

As a final test of the specificity of this receptor antibody, we surveyed 27 cell lines to determine whether the antibody demonstrated the same species tropism as the major group of HRV serotypes. Experiments involved parallel binding studies of radiolabeled HRV-15 and receptor monoclonal antibody. Results showed that the antibody binds only to cell lines to which the virus is capable of binding (3). With the exception of chimpanzee liver cells, specific binding occurred only to cells of human origin. Thus, the cellular receptor required for binding of the antibody to cell surfaces followed the same species

Convinced that the receptor monoclonal antibody was of the correct specificity, we assayed an additional 64 HRV serotypes against the antibody blockage. Results confirmed our original prediction that almost 90% of all HRV serotypes compete for a single receptor on cells, since the antibody was able to block infection by 78 of the 88 serotypes tested (Table 1). These data clearly confirmed that the ligand structure present on the viral capsid is highly conserved among HRV serotypes and represents the first defined structural link

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Blocking Serotypes

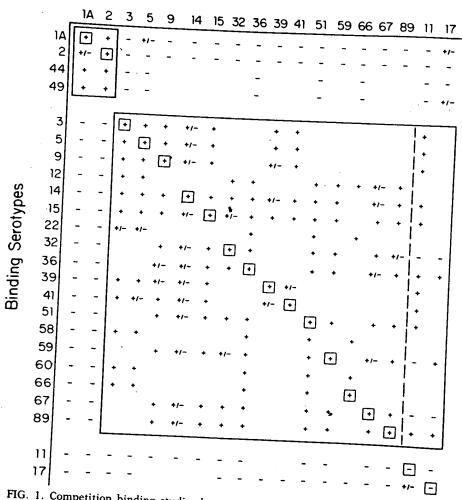


FIG. 1. Competition binding studies between 24 HRV serotypes. Twenty-four HRV serotypes were grown in HeLa R19 cells in the presence or absence of [35S]methionine, the HRVs were purified, and titers were determined as previously described (1). Predetermined amounts of unlabeled virions of the serotypes listed across the top were used to saturate 2 × 105 to 3 × 105 HeLa cells prior to a challenge binding by the 35S-labeled HRV serotypes listed on the left side (1). HRV serotypes which blocked at least 90% as effectively as the homologous virus were scored positive (+), those with which blocking was lower than 20% were scored negative (-), and intermediate percentages were scored +/- to indicate equivocal results. Boxed results are homologous competitions.

TABLE 1. Cell protection assay of receptor monoclonal antibody^a

Result	Virus
Protection	HRV serotypes 3–28, 32–43, 45, 46, 48, 50–52, 54–61, 63–81, 83–86, 88, 89, and Hanks
	Coxsackieviruses A13, A18, and A21
No protection	HRV serotypes 1A, 1B, 2, 29-31, 44, 47, 49, and 62
•	Polioviruses 1, 2, and 3
į.	Coxsackieviruses B2 and B3
	Echoviruses 1, 3, 6, and 20
	Hepatitis virus type A
	Vesicular stomatitis virus
	Newcastle disease virus
	Parainfluenza viruses 1, 2, and 3
1 5 1 1 1 1 1 1	Influenza A virus
	Respiratory syncytial virus
•	Vaccinia virus
	Adenoviruses 1 and 2

^a Viruses, cells, and procedures used for infection of confluent monolayers have been described elsewhere (3). Assays were scored positive for protection if no cytopathic effect was evident in antibody-treated cultures versus untreated control cultures.

CHARACTERIZATION OF THE MAJOR HRV RECEPTOR

The mouse monoclonal antibody was determined to have an immunoglobulin G1 isotype by gel diffusion using isotype-specific antisera. The monoclonal antibody appears to have a very strong avidity for the receptor protein. Kinetics of binding showed that it binds to the receptor within 1 min, whereas HRVs require up to 1 h to achieve maximal binding (3). While the antibody is capable of blocking the attachment of virus to receptors, the virus is unable to effectively block the attachment of antibody. Although size differences may be involved, the greater affinity of the antibody for the receptor site appears to be the major explanation. To illustrate this point, 35S-labeled HRV-14, HRV-15, and HRV-2 were bound to HeLa cell membranes and unbound virus was removed by washing. The membrane-virus complexes were then incubated with increasing amounts of monoclonal antibody, and the amount of virus released from the complex was measured. Results showed that up to 80% of HRV-14 (weak binder) and 35% of HRV-15 (strong binder) were released by antibody addition, while HRV-2 (minor group) showed no release from its receptor (3). This result strongly suggests that the antibody is capable of displacing previously bound virions of the major group of HRVs and will be a valuable tool in future studies on viral entry.

Since viruses have the capacity to mutate quite rapidly during propagation, we attempted to isolate viral mutants capable of overcoming the receptor antibody block. We first examined our viral stocks for the presence of natural variants. Antibody-treated and untreated confluent monolayers of HeLa cells were infected with 10° PFU (multiplicity of infection > 1,000) of HRV-2, HRV-14, HRV-15, and HRV-36, and plates were overlaid with medium (with or

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RECEPTOR

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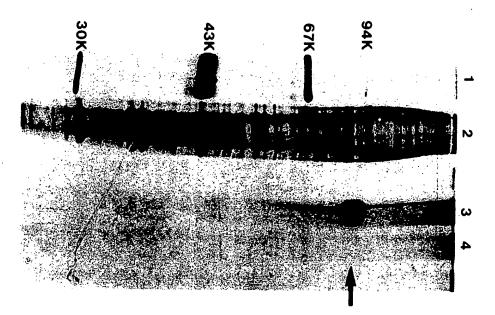
without antibody) and 0.4% agar to restrict viral spread. After 3 days of incubation at 34°C, plates were stained to visualize the formation of plaques. The plates containing no antibody showed complete destruction of the cell monolayer by each of the four serotypes, as expected with such a massive amount of virus. However, not a single plaque was observed on the antibody-treated plates infected by HRV-14, HRV-15, and HRV-36 (3). The antibody-treated plate that was infected with HRV-2 was completely destroyed and served as a control. This result demonstrates that natural variants capable of bypassing this specific blockage do not preexist in our concentrated virus preparations.

A second experiment was then designed to select for these mutations. HRV-15 (100 to 200 PFU) was adsorbed to HeLa cell monolayers for 0.5, 2, 4, and 6 h to ensure eclipse of the virus particles prior to the addition of antibody in an agar overlay. After a 3-day incubation, no plaques were present in any of the antibody-treated plates regardless of when the antibody was added (3). While no direct mutagenesis has been attempted, these data strongly suggest that the viral ligand for the major HRV cellular receptor resides in a location that is not easily changed. In addition, this result clearly confirms that HRV transmission

occurs only through receptor utilization. Isolation and identification of the cellular receptor utilized by the major group of HRVs is a prerequisite to complete understanding of viral entry into cells. Solubilization of cellular receptors can be achieved by several methods, of which the most commonly used is detergent treatment. We have used several ionic and nonionic detergents in attempts to solubilize the HRV major receptor and have concluded that 0.3% sodium deoxycholate is the most efficient. Detergent-solubilized receptor preparations were chromatographed on an Affigel column coupled to receptor monoclonal antibody (5). After extensive washing, the bound material was eluted from the column with diethylamide and analyzed by polyacrylamide gel electrophoresis. Results (Fig. 2) showed a predominant protein band migrating with an apparent molecular weight of 90,000 (J. E. Tomassini and R. J. Colonno, submitted for publication). No equivalent protein of 90,000 molecular weight was found when Affi-gel columns without antibody were used or when receptors were solubilized from mouse L cells or other cell lines not containing the major HRV receptor. Further analysis of this candidate receptor protein is in progress.

The data presented here illustrate quite conclusively that the vast number (89%) of HRV serotypes utilize a single cellular receptor protein for attachment to susceptible cells. These data were obtained by studying competition for receptors among 24 randomly selected HRV serotypes and by isolation of a mouse monoclonal antibody capable of specifically blocking attachment of this major HRV group. Competition binding studies among the 10 HRV serotypes, belonging to the minor group, have demonstrated that these serotypes compete with one another for a second receptor that is clearly different from the major HRV receptor (3).

Studies with the receptor monoclonal antibody have repeatedly demonstrated the inability of the major group of HRVs to compensate for the receptor block. These results strongly suggest that the viral ligand resides at a location involved in the structural integrity of the capsid. Mutations in this region



gel was fixed and proteins were visualized by silver staining (13). Lane 1, Protein markers; lane 2, high-speed supernatant; lane 3, Affi-gel with antibody; lane 4, Affi-gel without antibody. Arrow indicates position of receptor protein dodecyl sulfate and analyzed on a 10% polyacrylamide sodium dodecyl sulfate gel (8). The supernatant was chromatographed on an Affi-gel column or an Affi-gel column containing presence of 0.3% sodium deoxycholate. Bound material was eluted with 0.2% sodium removed by high-speed centrifugation (Tomassini and Colonno, submitted). Solubilized cell membranes were solubilized with 0.3% sodium deoxycholate, and cellular debris was linked receptor monoclonal antibody (5). The columns were washed extensively in the FIG. 2. Affinity chromatography of major HRV cellular receptor proteins. HeLa R19

probably result in an instability of the capsid structure and are, therefore, lethal mutations.

cloning of the receptor protein will provide a better understanding of this DNA, RNA, and protein synthesis. It is hoped that further characterization and with the receptor monoclonal antibody results in no inhibition of cell growth or to be an essential structure on the HeLa cell surface, since prolonged treatment make up an active HRV receptor site. The viral attachment site does not appear important cellular receptor. know little about its normal function or the number of components required to While we have isolated a cellular protein involved in HRV attachment, we

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